JUL 2 8 2004 \$ 03500.014358.

AF-2611 61 \$

## **PATENT APPLICATION**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	application of:	)	
		:	Examiner: Chung, Jason J.
<b>ATSU</b>	SHI MIZUTOME, ET AL.	)	
	**	:	Group Art Unit: 2611
Applic	eation No.: 09/531,959	)	RECEIVED
Filed:	March 21, 2000	· )	AUG 0 3 2004
For:	RECEIVING APPARATUS,	)	Technology Center 2600
	METHOD THEREFOR, SIGNAL	:	
	PROCESSING APPARATUS,	)	
	METHOD THEREFOR AND	:	
	MEMORY MEDIUM	)	July 27, 2004

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 5,550,576
- (2) U.S. Patent No. 5,808,694
- (3) U.S. Patent No. 5,883,677
- (4) U.S. Patent No. 6,239,794
- (5) China 1176726
- (6) China 1185257
- (7) Europe 0 774 868
- (8) Europe 0 834 798
- (9) WO 98/47284

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01 EP. 1006

Documents (5), (6) and (7) were cited during prosecution of a Chinese patent application corresponding to the above U.S. application. Documents (1), (2), (3), (8) and (9) were cited during prosecution of a European patent application corresponding to the above U.S. application. A copy of the Chinese Office Action, which is dated May 14, 2004, and a copy of the European Search Report, which is dated June 23, 2004 are enclosed.

English-language abstracts for each of documents (5) and (6) have been located and are enclosed for the Examiner's consideration. Additionally, it is noted that document (4) is an English-language counterpart for document (5) and document (1) is an English-language counterpart for document (6). The concise explanation of relevance for each of the non-English language documents ((5) and (6)) is believed to be satisfied by one of the enclosed English language abstracts, the Chinese and European Search Reports, and the English language counterparts. See MPEP § 609.

Inasmuch as this application has received a Final Action under 37 C.F.R. § 1.113, this Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(d).

Thus, in view of the dates of both the Chinese Office Action and the European Search Report, it is hereby submitted under 37 C.F.R. § 1.97(e) that each document cited in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. Additionally, as required by 37 C.F.R. § 1.97(d), a fee of \$180.00 accompanies this Information Disclosure Statement.

The Examiner is respectfully requested to review this information in its entirety and to make an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449 and returning the initialed form to Applicant with the next communication.

Applicants' undersigned attorney may be reached in our Costa Mesa,

California office by telephone at (714) 540-8700. All correspondence should continue to
be directed to our address given below.

Respectfully submitted,

Edward A. Kmett

Attorney for Applicants Registration No. 42,746

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza
New York, New York 10112-3800

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FORM PTO 1449 (modified)				ATTY DOCKET NO. 03500.014358.		APPLICATION 109/531,5	APPLICATION NO CONTROL OF THE PROPERTY OF T		
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES OF ED BY APPLICANT(S) (Use several sheets if necessary)  JUL 2 8 2004			APPLICANT	200000000000000000000000000000000000000					
			FILING DATE	March 21, 2000	2	2019 61191 Co	3 2004		
		E		U.S. PATENT DO	CUMENTS			Mer on	
*EXAMINER INITIAL		DOCUMENDEM!	DATE		NAME		SUBCLASS	FILING DATE IF APPROPRIATE	
		5,550,576	08/27/1996		Klosterman	348	6		
		5,808,694	09/15/1998		Usui et al.	348	569		
		5,883,677	03/16/1999		Hofmann	548	384		
		6,239,794	05/29/2001	<u> </u>	Yuen et al.	725	41		
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		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
	CN	1176726	03/18/1998		China			Abstract	
	CN	1185257	06/17/1998		China			Abstract	
	EP	0 774 868	05/21/1997		Europe				
	EP	0 834 798	10/07/1997		Europe				
	wo	98/47284	10/22/1998		WIPO				
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.